

# Modeling of gate bias modulation in carbon nanotube field-effect transistor

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The threshold voltages of a carbon-nanotube (CNT) field-effect transistor (FET) are studied. The CNT channel is so thin that there is no voltage drop perpendicular to the gate electrode plane, and this makes the device characteristics quite unique. The relation between the voltage and the electrochemical potentials, and the mass action law for electrons and holes are examined in the context of CNTs, and inversion and accumulation threshold voltages ( $V_{Ti}$  and  $V_{Ta}$ ) are derived.  $V_{Ti}$  of the CNTFETs has a much stronger doping dependence than that of the metal-oxide-semiconductor FETs, while  $V_{Ta}$  of both devices depends weakly on doping with the same functional form.

Recently, there have been a lot of experiments on carbon nanotube (CNT) field-effect transistors (FET) [1,2]. One of the important quantities to characterize the FET operation is a threshold voltage  $V_T$  [3]. When the gate voltage  $V_G$  exceeds  $V_T$ , the FET channel appears and the transistor turns on. In the usual metal-oxide-semiconductor (MOS) FETs, there is an appreciable potential drop in the semiconductor substrate perpendicular to the gate electrode plane, so that the applied  $V_G$  will be shared with the gate oxide region and the semiconductor region. The standard  $V_T$  expression for the MOSFETs reflects this physics [3]. However, this is not the case in the CNT-FETs. A voltage drop in the same perpendicular (diameter) direction is not possible due to the extremely thin CNT, and this will influence the device properties. In this article, we will study the energy band diagrams for the CNTFET by reconsidering the relation between the voltage and the electrochemical potentials, and the mass action law for electrons and holes in the CNT. Then, we will express  $V_T$  for the CNTFET, which will show a significantly different doping dependence from that of the MOSFET.

Figure 1 shows the band diagrams for a  $p$ -doped MOSFET and a  $p$ -doped CNTFET with a metallic gate electrode: (a) MOSFET hole accumulation, (b) MOSFET electron inversion, (c) CNTFET hole accumulation, and (d) CNTFET electron inversion. In the metal side,  $\Phi_m'$  is a work function subtracted by the oxide electron affinity  $\chi_{ox}$  and  $\mu_m$  is an electrochemical potential (Fermi level). In the semiconductor side,  $\Phi_s'$  is a CNT work function subtracted by  $\chi_{ox}$ .  $E_c$  and  $E_v$  are conduction and valence band edges, and  $E_i$  is an intrinsic level.  $\mu_s$  is an electrochemical potential (grounded), and the chemical potential  $\mu_s - E_v$  is independent of  $V_G$  deep inside the substrate. The application of  $V_G$  causes the voltage drop in the oxide  $V_{ox}$  and the formation of a surface potential  $\Psi_s$ . All these quantities are given in the same unit (e.g. volt). In the MOSFET,  $\mu_m$

$-\mu_s = V_G$  holds as usual [4]. Regardless of accumulation ( $V_G < 0$ ) in Fig. 1(a) or inversion ( $V_G > 0$ ) in Fig. 1(b), we have

$$\Phi_m' - V_G = V_{ox} + \Phi_s' - \Psi_s. \quad (1)$$

However, such  $\Psi_s$  is not possible in the CNTFET. In fact, in a bulk semiconductor, the Debye length, a characteristic length for the potential change [3], is at least  $100 \text{ \AA}$  for the dielectric constant  $\epsilon_r \sim 10^1$  and the impurity density  $N_{imp} < \sim 10^{18} \text{ cm}^{-3}$ , but this is still an order of magnitude larger than the CNT diameter. Another way to say this is that an electron wave can maintain its coherency in the CNT diameter direction and subbands are formed so that there will be no potential drop in that direction.  $V_G$  attracts opposite charges from the source/drain electrodes to the CNT channel, and the entire CNT is charged. Thus,  $\mu_s$  will shift from its intrinsic value  $\mu_{s0}$  and the chemical potential  $\mu_s - E_v$ , which does not change inside the substrate of the MOSFET, will also change.

We will see the relation  $\mu_m - \mu_s = V_G$  [4] still holds in the CNTFET. Because there are a macroscopic number of states in the CNT [5], the usual entropy ( $S$ ) maximum condition prevails in equilibrium [6]. This is true when the Coulomb charging energy of  $e^2/C_{NT}$  is negligible [7], where  $C_{NT}$  is a CNT capacitance [2] and  $e$  is a unit charge. We let reservoir  $m$  at voltage  $V_m$  and reservoir  $s$  at voltage  $V_s$  interact (via a battery of  $V_m - V_s$ ), while keeping the total energy  $U = U_m + U_s$ , the total charge  $Q = Q_m + Q_s$ , and the total carriers  $N = N_m + N_s$  to be constant, respectively (i.e.,  $\delta U = \delta U_m + \delta U_s = 0$ , etc.). Using the perfect differential  $dS = dU/T + VdQ/T - e\mu dN/T$  where  $T$  is the temperature and  $\mu$  is given in the units of volt, we have  $\delta(S_m + S_s) = (1/T_m - 1/T_s)\delta U_s + (V_m/T_m - V_s/T_s)\delta Q_s - e(\mu_m/T_m - \mu_s/T_s)\delta N_s$  for the unified system. Because  $\delta S = 0$  in equilibrium, each coefficient must vanish. The charge and the carriers are related by  $Q = eN$ . Thus, we have  $T_m = T_s$  and  $V_m - V_s = \mu_m - \mu_s$ . Therefore, the band diagrams in Fig. 1(c) and 1(d)

are established, where  $\Delta\mu_s = \mu_s - \mu_{s0}$ . Regardless of accumulation ( $V_G < 0$ ) in Fig. 1(c) or inversion ( $V_G > 0$ ) in Fig. 1(d), we have

$$\Phi_m' - V_G = V_{ox} + \Phi_s' - \Delta\mu_s. \quad (2)$$

As in the bulk  $p$ -semiconductors [4], we can express the hole density  $p = N_a \exp(-\beta\Delta\mu_s) = n_i \exp[\beta(E_i - \mu_s)]$  and the electron density  $n = (n_i^2/N_a) \exp(\beta\Delta\mu_s) = n_i \exp[\beta(\mu_s - E_i)]$  in the  $p$ -CNT, where  $n_i$  is an intrinsic carrier density,  $N_a$  is an acceptor density, and  $\beta = e/k_B T$  with  $k_B$  the Boltzmann constant. The unique one-dimensional band properties are all embedded in the band gap  $E_g = E_c - E_v$  and in  $n_i$ . We then notice  $pn = n_i^2$  regardless of  $\mu_s$  in the CNT, or the mass action law prevails. This is justified as follows. When electron-hole pairs are generated thermally (the process independent of impurities), the same number of electron-hole pairs must be annihilated in equilibrium. The annihilation rate is proportional to the electron-hole collision probability, or the  $pn$  product, and should be independent of  $\mu_s$  to compensate the thermal generation. This argument uses none of the details of the hardware [8], and it is not surprising that  $n$  and  $p$  are given as above.

We will express  $V_G$  as a function of  $\Delta\mu_s$  in the CNTFET. From Eq. (2), we have  $V_G - V_{FB} = \Delta\mu_s - V_{ox} = \Delta\mu_s + e(n + N_a p)/C_{NT} = \Delta\mu_s + e[(n_i^2/N_a) \exp(\beta\Delta\mu_s) + N_a - N_a \exp(-\beta\Delta\mu_s)]/C_{NT}$ , where  $V_{FB} = \Phi_m' - \Phi_s'$  is a flat band voltage. The linear term of charge contributes to  $V_G$  due to the *absence* of the field in the charged region, reminiscent of a parallel capacitor. The corresponding relation between  $V_G$  and  $\Psi_s$  in the MOSFET is  $V_G - V_{FB} = \pm|\Psi_s| \pm (2\epsilon k_B T N_a)^{1/2} \{\exp(-\beta\Psi_s) + \beta\Psi_s - 1 + (n_i/N_a)^2 [\exp(\beta\Psi_s) - \beta\Psi_s - 1]\}^{1/2}/C_{ox}$  (the same sign as  $\Psi_s$ ), where  $C_{ox}$  is an oxide capacitance and  $\epsilon$  is a permittivity [3]. The square root term of charge contributes to  $V_G$  due to the *presence* of the field this time, reminiscent of a  $pn$ -junction [4]. Figure 2 shows  $|V_G - V_{FB}|$  as a function of  $\Delta\mu_s$  in the CNTFET or  $\Psi_s$  in the MOSFET. For the former,  $N_a = 10^6$  and  $3 \times 10^6 \text{ cm}^{-1}$  with  $C_{NT} =$

0.237 pF/cm [9], and for the latter,  $N_a = 10^{16} \text{ cm}^{-3}$  and the oxide thickness  $d_{ox} = 100 \text{ \AA}$  [10] are chosen.  $\Psi_F = (k_B T/e) \ln(N_d/n_i)$  is a Fermi level measured from  $E_i$ .  $\Delta\mu_s$  or  $\Psi_s = 0$  corresponds to the flat band condition, and  $\Delta\mu_s$  or  $\Psi_s = 2\Psi_F$  corresponds to the onset of strong inversion. Once they occur,  $dV_G/d\Delta\mu_s$  and  $dV_G/d\Psi_s$  are quite large in both devices. This means that once the transistor channel appears, the channel electrons or holes can screen  $V_G$  effectively so that  $\Delta\mu_s$  or  $\Psi_s$  will not change significantly. The screening is different in both devices, such that the CNTFET behaves as  $V_G \sim \exp(\beta|\Delta\mu_s|)$  while the MOSFET behaves as  $V_G \sim \exp(\beta|\Psi_s|/2)$ .

This difference leads to different threshold behaviors. Writing a doping independent part of  $V_{FB}$  by  $V_0 = \Phi_m' - \chi_{ox} - E_G/2$ , we have  $V_{FB} = V_0 \pm \Psi_F$ , where  $-$  is chosen for  $p$ -type with  $N_a$  and  $+$  is chosen for  $n$ -type with the donor density  $N_d$ . For an originally  $p$ -doped device, we have an accumulation threshold  $V_{Ta}$  for the  $p$ -channel formation, and an inversion threshold  $V_{Ti}$  for the  $n$ -channel formation. We here derive both  $V_{Ta}$  and  $V_{Ti}$ , following the recent CNTFET experiments [1,2]. With a dimensionless Fermi level  $X = e\Psi_F/k_B T = \ln(N_{imp}/n_i)$ , we have:

(a) accumulated  $p$ -channel formation threshold (originally  $p$ -doped)

$$V_{Ta} = V_0 - k_B T X / e, \quad (\text{CNTFET, MOSFET}) \quad (3)$$

(b) inverted  $n$ -channel formation threshold (originally  $p$ -doped)

$$V_{Ti} = V_0 + k_B T X / e + 2en_i \exp(X)/C_{NT}, \quad (\text{CNTFET}) \quad (4)$$

$$V_{Ti} = V_0 + k_B T X / e + 2(\epsilon k_B T n_i)^{1/2} X^{1/2} \exp(X/2)/C_{ox}, \quad (\text{MOSFET}) \quad (5)$$

(c) accumulated  $n$ -channel formation threshold (originally  $n$ -doped)

$$V_{Ta} = V_0 + k_B T X / e, \quad (\text{CNTFET, MOSFET}) \quad (6)$$

(d) inverted  $p$ -channel formation threshold (originally  $n$ -doped)

$$V_{Ti} = V_0 - k_B T X / e - 2en_i \exp(X)/C_{NT}, \quad (\text{CNTFET}) \quad (7)$$

$$V_{Ti} = V_0 - k_B T X / e - 2(\epsilon k_B T n_i)^{1/2} X^{1/2} \exp(X/2)/C_{ox}, \quad (\text{MOSFET}) \quad (8)$$

where  $n_i$ ,  $N_{imp}$ , and  $V_0$  have to be evaluated for CNTFET and MOSFET separately.  $V_{Ta}$  does not depend on doping very much and is linear in  $X$ . This simply reflects the doping dependence of  $V_{FB}$  though  $\Phi_s'$ .  $V_{Ti}$  depends drastically on doping, and the CNTFET has a much stronger dependence  $\sim \exp(X)$  than the MOSFET  $\sim X^{1/2} \exp(X/2)$ .

Figure 3 shows  $V_{Ti}$  and  $V_{Ta}$  for electrons and holes as a function of  $X$  in the CNTFET and the MOSFET.  $V_T$ 's in the CNTFET are drawn for  $n_i/C_{NT} = 10^{14} \text{ F}^{-1}$  and  $10^{12} \text{ F}^{-1}$  with  $V_0 = 1 \text{ V}$  [11], where  $V_{Ti}$  starts diverting from  $V_0$  significantly at about  $X \sim \ln(C_{NT}|V_0|/2en_i)$ . For comparison,  $V_T$ 's in the MOSFET are drawn for  $d_{ox} = 100 \text{ \AA}$  and  $V_0 = -0.5 \text{ V}$  [10] with corresponding  $N_{imp}$  at the top horizontal ticks.  $V_{Ti}$  changes more rapidly in the CNTFET than in the MOSFET.  $V_{Ta}$  depends quite slowly on  $X$ , and has the same functional dependence in both devices. In the CNTFET,  $V_{Ti}$  for the  $p$ -channel device with  $V_0 > 0$  will change its sign as  $N_d$  increases, while in the MOSFET,  $V_{Ti}$  for the  $n$ -channel device with  $V_0 < 0$  will change its sign as  $N_a$  increases. The sign change in  $V_T$  indicates transitions from normally-on device to normally-off device, and this has to be aware in the circuit design [3,10]. Which channel device will go through the transition is related to the sign of  $V_0$ , i.e., the gate material and the trapped/interface charges [3].

In this article, we have assumed that the source/drain contacts are Ohmic (ideal) for both electrons and holes. Currently, achieving Ohmic contact for electrons is not trivial [1,2,12], but this technology is mandatory in every electronics. We have also assumed the metallic gate electrode allowing no voltage drop. These are consistent with the future direction of CNT electronics.

We have shown that the doping dependence of  $V_{Ti}$  in the CNTFET is strong and is significantly different from that in the MOSFET, while that of  $V_{Ta}$  is weak and has the same functional form. This is because there is no potential drop in the diameter direction of a CNT channel.

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### Figure captions

FIG. 1 Band diagrams for a  $p$ -doped MOSFET and a  $p$ -doped CNTFET with schematic charge distributions: (a) MOSFET hole accumulation, (b) MOSFET electron inversion, (c) CNTFET hole accumulation and (d) CNTFET electron inversion, where narrow CNT diagrams are widened for clarity.  $\Phi_m'$  and  $\Phi_s'$  are work functions minus the oxide electron affinity.  $\mu_m$  and  $\mu_s$  (grounded) are electrochemical potentials.  $V_G$  is a gate voltage and  $V_{ox}$  is a potential drop.  $E_c$  and  $E_v$  are band edges, and  $E_i$  is an intrinsic level.  $\Psi_s$  is a surface potential and  $\Delta\mu_s = \mu_s - \mu_{s0}$ , where  $\mu_{s0}$  is an initial  $\mu_s$ . All quantities are given in the same energy unit.

FIG. 2  $|V_G - V_{FB}|$  as a function of either  $\Delta\mu_s$  or  $\Psi_s$ . Two plots with  $N_a = 10^6$  and  $3 \times 10^6 \text{ cm}^{-1}$  for the CNTFET are shown and are compared to those for the MOSFET with  $N_a = 10^{16} \text{ cm}^{-3}$ . The locations of the band edges  $E_c$  and  $E_v$ , and the intrinsic level  $E_i$  are indicated. The flat band (FB) is achieved at  $\Delta\mu_s$  or  $\Psi_s = 0$  and the strong inversion starts at  $\Delta\mu_s$  or  $\Psi_s = 2\Psi_F$ .  $\Delta\mu_s$  or  $\Psi_s < 0$  corresponds to accumulation.

FIG. 3 Threshold voltages  $V_T$ 's as a function of dimensionless doping density  $X$ .  $V_T$ 's for electron- and hole-inversions (e-inv and h-inv) in the CNTFET with a doping-independent voltage  $V_0 = 1 \text{ V}$  are plotted for a parameter  $n_i/C_{NT} = 10^{14} \text{ F}^{-1}$  or  $10^{12} \text{ F}^{-1}$ , respectively, along with  $n_i/C_{NT}$ -independent  $V_{Ta}$ 's for electron- and hole-accumulations (e-acc and h-acc). For comparison,  $V_T$ 's in the MOSFET with an oxide thickness  $d_{ox} = 100 \text{ \AA}$  and  $V_0 = -0.5 \text{ V}$  are plotted, where the upper horizontal ticks show  $N_{imp}$  for corresponding  $X$ .

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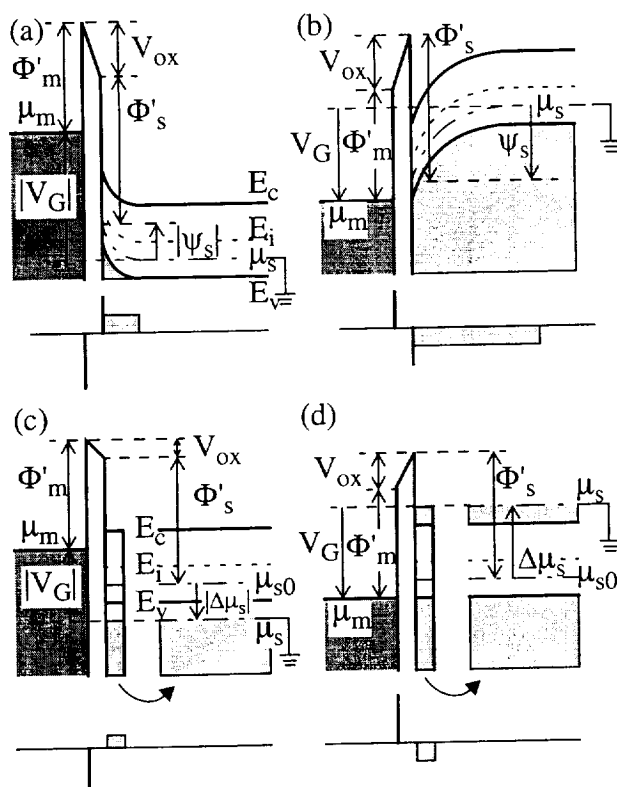


Fig. 1  
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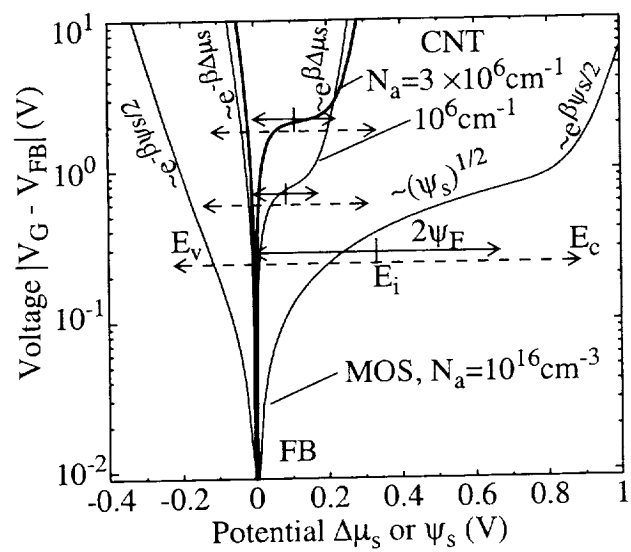


Fig. 2  
 APL, Yamada

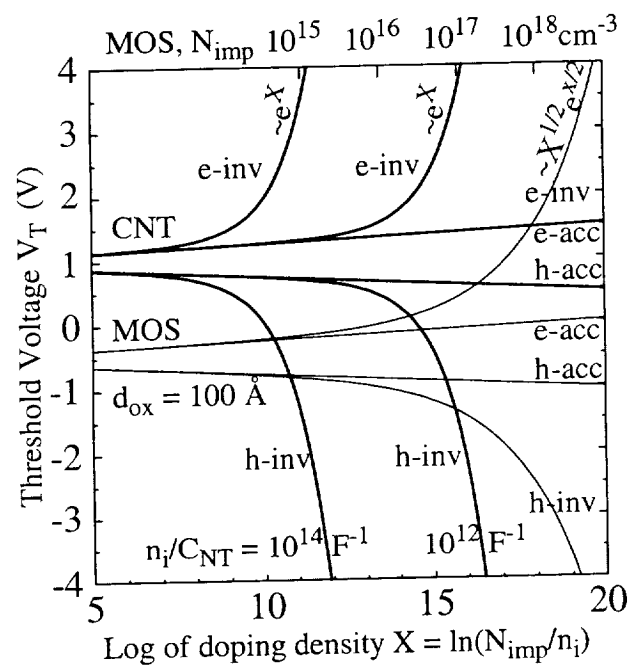


Fig. 3  
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